

Carl D. Perkins

Compliance and Monitoring

Carl D. Perkins Act of 2006 emphasizes the need for statewide system accountability based on reliable and valid program performance data. The purpose of the Carl D. Perkins Act is to develop more fully the academic, career, and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs, by

1. Building on the efforts of states and localities to develop challenging academic and technical standards;
2. Promote the development of services and activities that integrate rigorous and challenging academic and career technical education instructions;
3. Increase state and local flexibility in providing services and activities designed to develop, implement, and improve career and technical education, including Tech Prep education;
4. Conduct and disseminate national research, and disseminate information on best practices that improve career and technical education programs, services and activities;
5. Provide technical assistance that promotes leadership, initial preparation, and professional development at the state and local level; and improve the quality of career and technical education teachers, faculty, administrators and counselors;
6. Support partnerships among secondary schools, postsecondary institutions, baccalaureate degree grant institution, area career and technical education schools, local workforce investments boards, business and industry, and intermediaries;
7. Provide individuals with opportunities throughout their lifetimes to develop, in conjunction with other education and training programs, the knowledge and skills needed to keep the United States competitive.

Perkins Monitoring Process

The Perkins monitoring process is a four- step process consisting of a **self- assessment, desk audit, telephone audit**, and an **on-site review**. The intent of the monitoring guide is to directly review compliance of the Carl D. Perkins Career and Technical Education Act of 2006 required program activities and services. **Essential evidence** is defined as the fundamental expectations stated in Section 135 of the federal act and Ohio's Five-Year Performance Plan requirements.

The following scale will be used in rating each category in the monitoring guide:

S – Activity or service Satisfactory – substantive evidence provided.

O – Opportunity for Improvement – substantive evidence not observed.

Self-Assessment

1. The College self-assessment is the first step of the monitoring process.
2. Local leadership teams should identify, review, and organize all available documentation addressing essential evidence.
3. If essential evidence supports a satisfactory rating, mark the appropriate box and list the evidence available in the space provided. This must be completed for each topic.
4. If there is no substantive evidence to support a satisfactory rating, it must be indicated in the appropriate box in the O column. This represents an opportunity for improvement.
5. To prepare for a possible on-site review, the team must organize a file of available evidence or explain where this evidence can be obtained at the suggested location. The file should follow each requirement with the documentation of essential evidence to support it (ex. Req. 3 (a); 3 (b); etc.).
6. **The completed self-assessment document must be signed by the College Contact and President or authorized representative and received in the Ohio Board of Regents Office by the designated deadline. (Faxed copies are unacceptable.)**
7. Self-assessments received after the deadline will be scheduled for an on-site visit.
8. No documentation is to be included with the submission of the self-assessment.

9. Questions should be directed to the Ohio Board of Regents Carl D. Perkins Representative.

Desk Audit:

The desk audit is the second step of the monitoring process.

1. After the completed self-assessment is received in the Ohio Board of Regents Office, the document will be reviewed by the Perkins Representative.
2. The desk audit will include review of the completed self-assessment.

Telephone Audit

The telephone audit is the third step of the monitoring process.

1. After completion of the desk audit, a telephone audit may be conducted by the Perkins Representative to seek additional information and or clarification.
2. At this point, the campus may be required to submit additional supporting documentation or identify where this documentation can be obtained during an on-site visit.
3. The telephone audit is completed after the supporting documentation is identified and approved by the Perkins Representative.

On-site Review

The on-site review is the fourth step of the monitoring process.

1. A percentage of Perkins Colleges will be selected annually for an on-site review.
2. The on-site review selection criteria is as follows; (a) On-site review requested by a College, (b) annual selection, (c) College submitting self-assessment after the submission deadline, and (d) Compliance issues identified in the self-assessment, desk or telephone audit.
3. Compliance issues include failure to address issues identified during the audit process.

After completion of the monitoring process, a Monitoring Summary Report will be mailed to the College Contact and President. The report will identify any Opportunities for Improvement (O) from the monitoring process.

The College will be required to prepare a Corrective Action Plan that addresses each category identified as an Opportunity for Improvement (O). Timelines and directions for completing the corrective action plan will be included with the monitoring summary report.

An Opportunity for improvement (O) rating requires and a Corrective Action Plan to comply with Ohio's Five-Year Performance Plan and Section 135 of Carl D. Perkins.

Campuses to be Monitored

Perkins Five-Year Monitoring Cycle

Each of the colleges is scheduled to receive one on-site visit throughout the five-year cycle of the monitoring process. The five-year cycle is intended to create a more efficient and less intrusive process for monitoring of the colleges. In addition, to evaluate the improvement of Career and Technical Education programs at the postsecondary level.

The campuses will be divided into two Subgroups called Cohort A and Cohort B. By creating subgroups, this will ensure that each campus is monitored at least once during the five-year cycle.

Monitoring Cycle dates below:

<u>Cohort A Cycles</u>	<u>Cohort B Cycle</u>
FY2009	FY2010
FY2011	Fy2012
FY2013	

Perkins Campus Subgroups: Cohort A and B

Perkins Campus- Cohort A	Perkins Campus-Cohort B
Belmont Technical	Central Ohio Technical College
Cincinnati State Community College	Columbus State Community College
Clark State Community College	Cuyahoga Community College
Hocking College	Eastern Gateway Community College
Lakeland Community College	Edison State Community College
Marion Technical College	Lorain County Community College

North Central State College	Rhodes State College
Owens Community College	Northwest State Community College
Sinclair Community College	Stark State College
Southern State Community College	Washington State Community College
	Zane State Community College
	*Consortium: Terra Community College/ University of Toledo

* University of Toledo and Terra Community College will participate in a consortium where University of Toledo will serve as the fiscal agent for the colleges. If the consortium is selected University of Toledo will receive the on-site visit.

Perkins Monitoring Audit Time-line: Cohort A and B

Fy2009	Fy2010	FY2011	FY2012
Cohort A	Cohort B	Cohort A	Cohort B
Clark State Community College	Central Ohio Technical College	Lakeland Community College	Rhodes State College
Cincinnati State Community College	Columbus State Community College	North Central State College	*Consortium: University of Toledo/Terra Community College
Sinclair Community College	Edison State Community College	Owens Community College	Lorain County Community College
	Eastern Gateway Community College	Marion Technical College	Northwest State Community College
	Washington State Community College		Stark State Community College
	Zane State College		**Cuyahoga Community College
Fy2013			

Cohort A
Southern State Community College
Belmont Technical College
Hocking College

**Cuyahoga Community College-Monitored in FY10.

The Monitoring Process

